

# **Alteplase for the Treatment of Acute Ischemic Stroke in Patients with Low NIHSS and Not Clearly-Disabling Deficits: Primary Results of the PRISMS Trial**

Pooja Khatri, Dawn Kleindorfer, Thomas Devlin, Robert Sawyer, Matthew Starr, Jennifer Mejilla, Joseph Broderick, Anjan Chatterjee, Edward C Jauch, Steven Levine, Jose Romano, Jeffrey Saver, Achala Vagal, Barbara Purdon, Jenny Devenport, Andrey Pavlov, Sharon Yeatts

On Behalf of the PRISMS Collaborators

**Sponsor: Genentech, Inc**

**NCT02072226**



# Relevant Disclosures

- **Pooja Khatri, MD, MSc**

- Alteplase for the Treatment of Acute Ischemic Stroke in Patients with Low NIHSS and Not Clearly-Disabling Deficits: Primary Results of the Prisms Trial

- **Financial Disclosures:**

- UC Dept of Neurology received funds from Genentech (PRISMS Trial PI), Lumosa (consultation, DSMB), and Neurospring (clinical advisory board) for my research effort
- Consultant to Biogen (DSMB), Medpace/Novartics (coinvestigator), St Jude's
- Travel support from Neuravi (academic workshop attendance) and EmstopA (unpaid consultant)

- **Unlabeled/Unapproved Uses Disclosure:**

- Alteplase use for strokes with minor deficits

# Background

- Over half of all ischemic strokes present with NIHSS 0-5

Stroke. 2013;44: 3211-3213;  
Stroke. 2009;40:2805-2811.

- In subset with deficits judged nondisabling, the benefit of alteplase is unclear
  - Few were enrolled in major RCTs

Trials	Minor Stroke Exclusion Criteria
NINDS Parts 1 & 2	Minor symptoms (including 4 prespecified syndromes)
ECASS I & II	Scandinavian Stroke Scale (SSS) <50
ATLANTIS A & B	NIHSS <4 and normal speech and visual fields
ECASS III	Only minor symptoms
EPITHET	NIHSS <5
IST-3	None (but only if enrolling physician with personal equipoise regarding benefit)

Stroke. 2015;46:2325-2327

- Guidelines reflect community equipoise for this subset
  - AHA/ASA Class IIb; Level of Evidence C

Stroke. 2013 Mar;44(3):870-947;  
Stroke. 2016 Feb 1;47(2):581-641

# Objective of PRISMS Trial

- To evaluate the efficacy and safety of IV alteplase for ischemic stroke patients with **minor deficits (NIHSS 0-5) judged not clearly-disabling at presentation** in a Phase 3b, double-blind, active-controlled, randomized, multicenter trial
  - Operational definition of “not clearly-disabling”
    - ✓ Can patient still do basic ADLs and/or return to work?

## BASIC ADLs

Bathing/Dressing › Ambulating (walking)

Toileting › Hygiene › Eating

(“BATHE”)

# Key Eligibility Criteria

- **Inclusion criteria**

- Age 18 years or older

- **Exclusion criteria**

- Pre-stroke disability (modified Rankin Scale 2-6)
- Other standard contraindications to IV alteplase
  - 2013 AHA/ASA acute ischemic stroke guidelines

- **Randomization (1:1)**

1. IV alteplase (0.9 mg/kg, max 90 mg) with placebo oral aspirin
2. Oral aspirin (325 mg) with placebo intravenous alteplase (control)

- **IV study drug initiated within 3 hours of last known well**

# Study Endpoints

## ■ **Primary endpoint**

- Functional outcome (mRS 0 or 1) at 90 days

## ■ **Secondary efficacy endpoints**

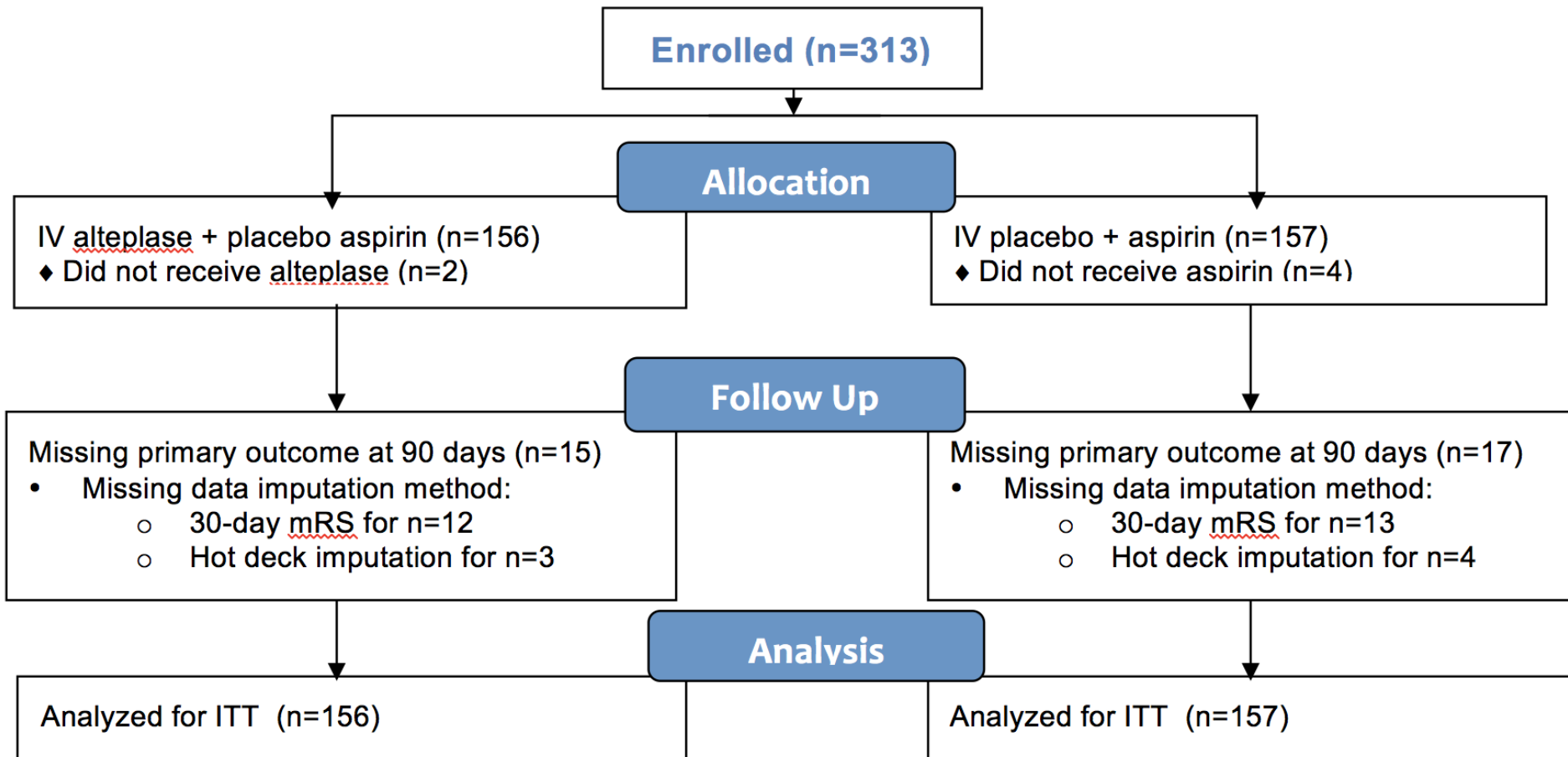
- Ordinal mRS (0, 1, 2, 3, 4, 5–6) at day 90
- Global test (mRS 0–1, NIHSS 0–1, BI  $\geq$ 95, and GOS=1) at day 90

## ■ **Primary safety endpoint**

- Symptomatic intracranial hemorrhage (sICH) within 36 hours
  - Any neurological decline attributed to ICH (modified NINDS definition)

# Results

- 313 of 948 planned patients were enrolled over 32 months
- Halted early by sponsor – below recruitment targets





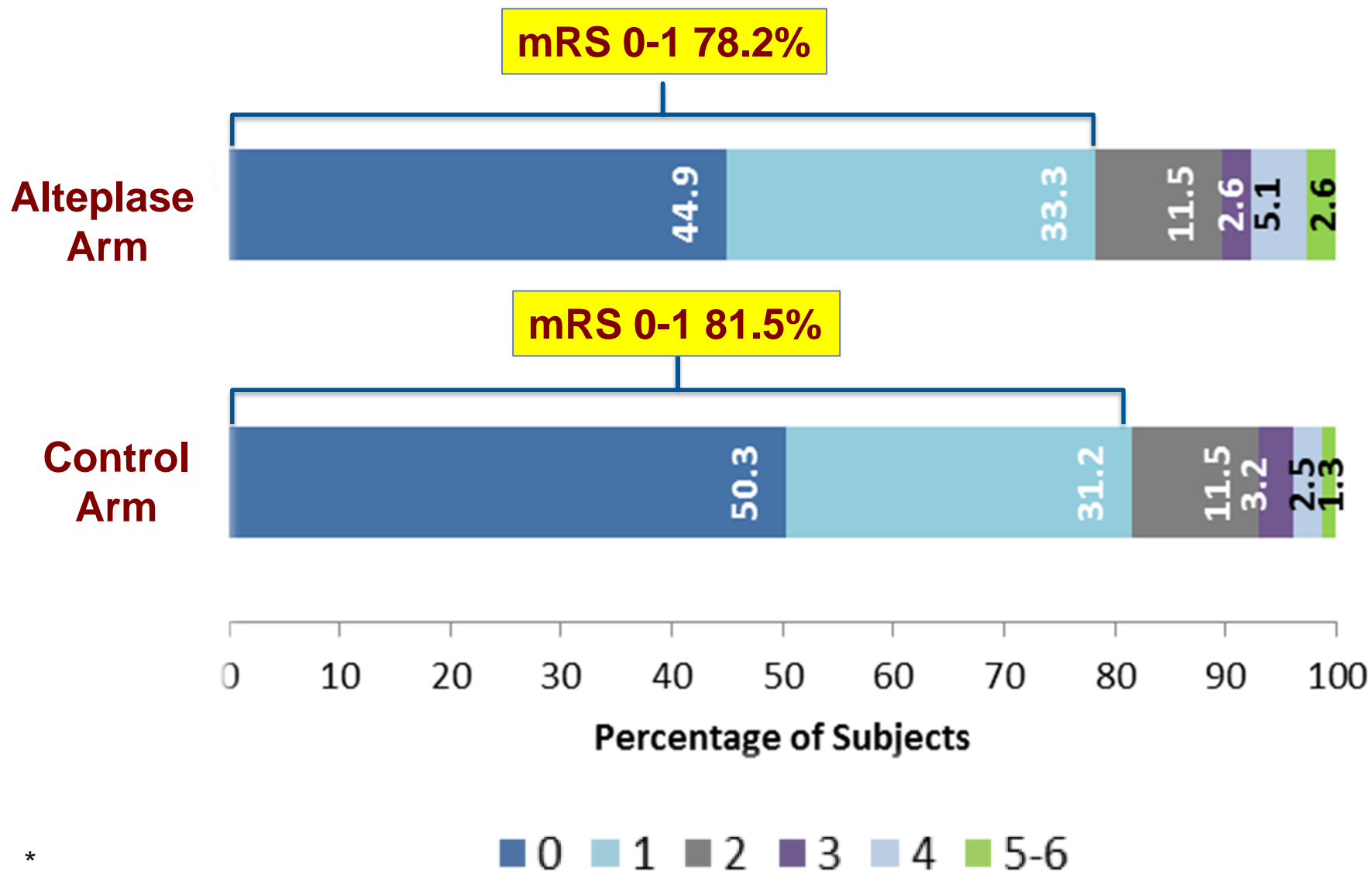
# Baseline Patient Characteristics

<b>Characteristic</b>	<b>Alteplase (n = 156)</b>	<b>Control (n = 157)</b>
<b>Age, years, mean (SD)</b>	62 (13.5)	61 (13.05)
<b>Male Sex, n (%)</b>	77 (49.4)	92 (58.6)
<b>Black Race, n (%)</b>	35 (22.4)	27 (17.2)
<b>Hispanic/Latino Ethnicity, n (%)</b>	14 (9.0)	18 (11.5)
<b>Hypertension, n (%)</b>	126 (81.3)	124 (79.0)
<b>Hyperlipidemia, n (%)</b>	114 (73.1)	114 (72.6)
<b>Diabetes Mellitus, n (%)</b>	57 (36.5)	44 (28.0)
<b>Previous Stroke, n (%)</b>	28 (17.9)	24 (15.3)
<b>Atrial Fibrillation, n (%)</b>	23 (14.7)	17 (10.8)
<b>Antiplatelet Agents, n (%)</b>	64 (41.0)	59 (37.6)
<b>Systolic Blood Pressure, mm Hg, mean (SD)</b>	145 (22.3)	149 (18.8)
<b>Baseline ASPECTS Score, median (range)</b>	10 (7, 10)	10 (7, 10)
<b>Time—Onset to IV Bolus, hours, median (IQR)</b>	2.7 (2.2, 2.9)	2.6 (2.1, 2.9)
<b>Time—Onset to Oral Study Drug, hours median (IQR)</b>	2.9 (2.5, 3.1)	2.8 (2.4, 3.1)

# Presenting Event Characteristics

<b>Characteristics</b>	<b>Alteplase (n = 156)</b>	<b>Control (n = 157)</b>
<b>Localization of Presenting Deficit</b>		
Right Hemisphere, n (%)	75 (48.1)	67 (42.7)
Left Hemisphere, n (%)	59 (37.8)	62 (39.5)
Unknown, n (%)	19 (12.2)	21 (13.4)
Brain Stem/Cerebellum, n (%)	9 (5.8)	18 (11.5)
<b>Final Diagnosis of Neurovascular Mimic, n (%)</b>	18 (11.7)	22 (14.4)
<b>Ischemic Cerebral Event Etiology, n</b>	138	135
Small Vessel Disease , n (%)	48 (34.8)	52 (38.5)
Undetermined Etiology , n (%)	40 (29.0)	46 (34.1)
Cardioembolism, n (%)	20 (14.5)	17 (12.6)
Large Artery Atherosclerosis, n (%)	20 (14.5)	10 (7.4)
Other Determined Etiology, n (%)	10 (7.2)	10 (7.4)

# Distribution of mRS at Day 90



\*

# Primary Outcome

Primary Outcome	Adjusted Risk Difference* (95% CI)
mRS 0–1 at Day 90, n (%)	-1.10% (-9.44, 7.25)

*\*Adjusted risk difference is obtained from a linear regression with treatment, age, onset time to treatment, baseline NIHSS, and quadratic terms for age, baseline NIHSS as covariates.*

# Prespecified Secondary/Exploratory Analyses of Efficacy

	<b>Alteplase (n=156)</b>	<b>Control (n=157)</b>	<b>Effect Estimate – Risk Difference or Odds Ratio (95% CI)</b>
<b>SECONDARY ENDPOINTS (90 DAYS)</b>			
<b>mRS 0-1, unadjusted</b>	122 (78.2%)	128 (81.5%)	-3.3% (-12.2%, 5.6%)
<b>Global Test</b>			0.86 (0.53, 1.39)
<b>EXPLORATORY ENDPOINTS (90 DAYS)</b>			
<b>mRS 0</b>	70 (44.9)	79 (50.3)	-3.6% (-14.2%, 7.1%)
<b>NIHSS 0-1</b>	108 (85.7)	98 (81.7)	1.30 (0.65, 2.59)
<b>BI 95-100</b>	107 (79.3)	118 (88.7)	0.53 (0.26, 1.06)
<b>GOS 1</b>	110 (81.5)	113 (85.6)	0.80 (0.41, 1.59)
<b>Ambulatory Performance (comfortable walking speed, meters per second)</b>	0.95 (0.34)	0.98 (0.44)	-0.03 (-0.13, 0.08)
<b>EQ-5D, mean (SD)</b>	0.81 (0.21)	0.83 (0.20)	-0.02 (-0.07, 0.03)
<b>SIS-16, mean (SD)</b>	85.1 (21.0)	86.3 (21.4)	-1.1 (-6.2, 4.0)

# Additional Exploratory Analyses for the Primary Outcome

	<b>Alteplase (n=156)</b>	<b>Control (n=157)</b>	<b>Effect Estimate – Risk Difference or Odds Ratio (95% CI)</b>
<b>Acute Cerebral Ischemia Cases Only (mimics excluded)</b>	107 (77.5)	109 (80.7)	-4.1 (-12.6, 4.5)
<b>Propensity Score- Adjusted Model</b>			-2.4% (-11.2%, 6.4%)
<b>Logistic Regression Model</b>			0.95 (0.53, 1.71)
<b>Repeated Measures Model</b>			0.86 (0.51, 1.44)

## Primary Safety Outcome (As Treated)

	<b>Alteplase (n=154)</b>	<b>Control (n=153)</b>	<b>Risk Difference (95% CI)</b>
<b>sICH within 36 hours</b>	5 (3.2)	0 (0.0)	3.3% (0.8%, 7.4%)

# Other Safety Outcomes (As Treated)

	Alteplase (n=154)	Control (n=153)	Risk Difference (95% CI)
<b>Any ICH within 36 hours (central reader)</b>	11 (7.1)	5 (3.3)	3.9% (-1.2%, 9.5%)
<b>Radiological Subtype of ICH*</b>			
HI-1	2	3	
HI-2	2	1	
PH-1	1	0	
PH-2	4	0	
Remote PH-1	2	0	
IVH	2*	0	
SAH	3*	1	
<b>Mortality</b>	1 (0.6)**	0	

\*These patients have more than one subtype

\*\*Patient death unrelated to study drug (volvulus)



# Likelihood of Alteplase Benefit (Post Hoc Bayesian Analysis)

- For clinical interpretation and future trial planning purposes
- Using PRISMS unadjusted outcome proportions added to an uninformative prior

## **Posterior probability of alteplase benefit**

Any benefit = 23%

>6% absolute benefit = 1.9%

95% credible interval of -12.2% to 5.5%

# Limitations

- Most important – early termination
  - Low power / precision
- Potential for selective recruitment
  - Intensive efforts to facilitate uniform application of enrollment criteria
  - Still applicable to the patients similar to the final enrolled population
  - Broad cohort with both small and large vessel occlusions
- Rate of missing day-90 outcomes was relatively high
  - However day-30 mRS is known to permit robust imputation

## Other Considerations

- First randomized trial evaluating alteplase in this population
- While underpowered, nearly all point estimates (primary, secondary, or exploratory) were unfavorable
- Better 90-day outcome in controls than predicted
  - 81% observed vs ~70% from prior literature
- Increased sICH without an associated increase in mortality

# Conclusions

- Among patients with low NIHSS and not clearly-disabling deficits, alteplase may not provide benefit and increases the risk of symptomatic intracranial hemorrhage.
- While early trial termination precludes definitive conclusions, the benefits of alteplase are not likely to extend to those without clearly-disabling deficits at presentation.
- These findings should **not** be extrapolated to patients with NIHSS 0 to 5 and clearly-disabling deficits.

# Acknowledgements (1)

ENROLLING SITES		
Investigator	Institution Name	# Enrolled
Kleindorfer, Dawn	University of Cincinnati	25
Devlin, Thomas	Chattanooga Center for Neurologic Research	24
Sawyer, Robert	Buffalo General Medical Center	22
Starr, Matthew	University of Pittsburgh Cancer Institute	15
Mejilla, Jennifer	Riverside Methodist Hospital	13
Sethi, Pramodkumar	Moses H Cone Memorial Hospital	10
Sugg, Rebecca	University of Mississippi Medical Center	10
Brick, John	West Virginia University Hospital	9
Katz, Paul	Temple University Hospital	9
Lopez, Jorge	Renown Institute for Neurosciences	8
Prabhakaran, Shyam	Northwestern Memorial Hospital	8
Nomura, Jason	Christiana Care Health System	8
Jacobs, Bradley	Wright State University	7
McIntosh, Gerald	Poudre Valley Health System	7
Tansy, Aaron	Icahn School of Medicine at Mount Sinai	7

# Acknowledgements (2)

ENROLLING SITES CONTINUED		
Investigator	Institution Name	# Enrolled
Ferencz, Gerald	Barnabas Health /Shore Neurology	6
Hassan, Ameer	Valley Baptist Medical Center	6
Bradbury, E Luke	University of Wisconsin	6
Wold, Jana	University of Utah	6
Cochran, John	Inova Fairfax Hospital	6
Gupta, Vipin	Alexian Brothers	6
Malik, Amer	Jackson Memorial Hospital	6
Rommel, Kerri	University of Louisville	5
Sen, Souvic	University of South Carolina	5
Burrus, Tamika	St. Jude Heritage Medical Group	4
Chang, Fen-Lei	Parkview Research Center	4
Fanale, Christopher	Colorado Neurological Institute	4
Gebel, James	Akron General Medical Center	4
Holmstedt, Christine	MUSC	4
Modir, Royya	UCSD	4
Stayman, Aaron	Swedish Medical Center	4
Willey, Joshua	Columbia University	4
Goldszmidt, Adrian	Sinai Hospital of Baltimore	4
Uchino, Ken	Cleveland Clinic	4
Starkman, Sid	UCLA	4

ENROLLING SITES CONTINUED		
Investigator	Institution Name	# Enrolled
Chiu, David	Houston Methodist Hospital	3
Ibrahim, Mohammed	Detroit Receiving Hospital	3
Lee, Jessica	University of Kentucky	3
Wiseman, Brian	University of Tennessee	3
Esparza, Francisco	Nova Clinical Research	3
Azhar, Salman	NYU Lutheran Medical Center	2
Lyerly, Michael	University of Alabama at Birmingham	2
Schrock, Jon	Case Western Reserve University	2
Song, Shlee	Cedars Sinai Medical Center	2
Levine, Steven	SUNY Downstate Medical Center	2
Franz, Douglas	Banner - University Med Ctr Phoenix	2
Song, Sarah	Rush University Medical Center	2
Alhatou, Mohammed	The Neurology And Pain Clinic	1
Felberg, Robert	Overlook Hospital	1
Kabbani, Mouhammed	Gunderson Health System	1
Lee, Jin-Moo	Washington University	1
Singh, Niranjana	University of Missouri	1
Zaidi, Syed	University of Toledo Medical Center	1

# Acknowledgments (3)

## ■ Genentech Sponsorship

## ■ Genentech PRISMS Leadership

- Bev Assman, MLS, MS, CCRA Clinical Program Manager
- Susan Begelman, MD, FACC Vice President, SPECTRUM Medical Unit
- Alisa Berger, PhD Scientific Communication Leader
- Jenny N. Devenport, PhD TA Head – SPECTRUM Biostatistics
- Eli Korner, PharmD, MPH Associate Director, Medical Science Liaisons
- Michael Liberman, MD, MBA Group Medical Director
- Alan Nicholas, PhD Statistical Scientist
- Barbara Purdon, PhD, MBA Senior Medical Science Director
- Ingrid Rudolph, PhD Scientific Communication Leader
- Cindy Salem, RN, MHS Senior Medical Science Liaison
- Mandy Sodhi, PhD Associate Director, Clinical Operations

## ■ Genentech Medical Science Liaisons (MSLs)

## ■ Vendors

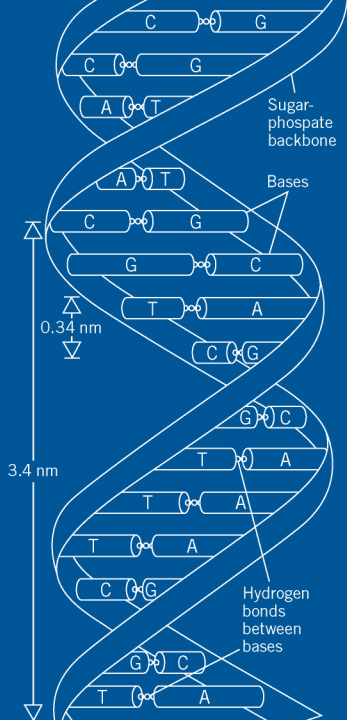
# Acknowledgments (4)

## ■ PRISMS Academic Leadership

- *Steering Committee*: Joseph Broderick, Anjan Chatterjee, Edward C Jauch, Steven Levine, Jose Romano, Jeffrey Saver, Sharon Yeatts
- *Imaging Core*: Achala Vagal (PI), Janice Carrozzella
- *Independent Data Monitoring Committee (iDMC)*: Karen Johnston (Chair), Bill Barsan, Howard Rowley

## ■ Enrolled Patients and their Families





**THANK YOU**